

A

03/30/01

(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTK-194

John Gary Sousa et al.

MODE-LIMITING DIODE LASER STRUCTURE

**ADDRESS TO: Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

- ## ACCOMPANYING APPLICATION PARTS

- | | | |
|-----|-------------------------------------|--|
| 8. | <input type="checkbox"/> | 37 CFR 3.73(b) Statement (when there is an assignee) |
| | <input type="checkbox"/> | Power of Attorney |
| 9. | <input type="checkbox"/> | English Translation Document (if applicable) |
| 10. | <input type="checkbox"/> | Information Disclosure Statement (IDS)/PTO-1449 |
| | <input type="checkbox"/> | Copies of IDS Citations |
| 11. | <input type="checkbox"/> | Preliminary Amendment |
| | <input type="checkbox"/> | Drawings [Total Sheets] |
| | <input type="checkbox"/> | Letter to Official Draftsperson Including
Drawings [Total Pages] |
| 12. | <input checked="" type="checkbox"/> | Return Receipt Postcard |
| 13. | <input type="checkbox"/> | Certified Copy of Priority Document(s) |
| 14. | <input type="checkbox"/> | Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in
the prior application. |
| 15. | <input type="checkbox"/> | CD in duplicate for large table or computer program. |
| 16. | <input type="checkbox"/> | Other: |

17. ☐ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence:
--This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , , the
entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: _____. Group/Art Unit: _____.


18. ☒ Priority - 35 U.S.C. 119

- ☒ Priority of application Serial No. 60/193,751 filed on March 31, 2001 in the United States is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. ____/____ on ____.
- ☐ The certified copy will follow.

SIGNATURE BLOCK

Direct all correspondence to: Patent Administrator
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High Street Tower
125 High Street
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Tel. No.: (617) 248-7000
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Date: March 30, 2001
Reg. No. 33,497
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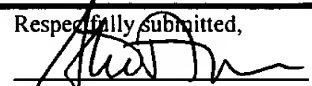
Respectfully submitted,

Steven J. Frank
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Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

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JC825 U.S. 110
09/822045
03/30/01

FEE TRANSMITTAL **FY 2001**

Complete Known	
Application Serial Number	Not yet assigned
Filing Date	March 30, 2001
First Named Inventor	John Gary Sousa et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	PTK-194

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																			
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2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.				<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>2,520</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>390</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>890</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,390</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,890</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>270</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify)</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify)</td> <td></td> <td></td> </tr> </tbody> </table>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (Specify)				Other fee (Specify)			
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Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,  Steven J. Frank Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																			